

ATTACHMENT A

STATE COMPLIANCE POLICIES	
1.0	Membership of Local Workforce Development Boards (LWDB)
1.1	WIA to WIOA: Identification of Regions, Designation of Local Workforce Development Areas, Appointment and Certification of the Local Workforce Development Board (LWDB) and Appeals Process
1.2	Local Plan
1.3	Criteria Under Which Local Workforce Development Boards (LWDBs) may Provide Career and Training Services
1.4	WIOA One-Stop Delivery System and Certification
1.5	WIOA Memorandums of Understanding
1.6	Eligibility for Adult and Dislocated Worker Career and Training Activities
1.7	Priority of Service - Adult
1.8	Adult Program Design, Career and Training Services
1.9	Individual Training Accounts
1.10	Coordination of Training Funds with Other Financial Assistance Programs
1.11	In-Demand Occupations and Sector Approach
1.12	Selection of Eligible Training Providers (ETPs)
1.13	Enforcement of Training Provider Services
1.14	On-the-Job Training (OJT) and Customized Training
1.15	Adult/Dislocated Worker Support Services & Needs-Related Payments (NRP)
1.16	Performance Standards/Incentive Awards
1.17	Documenting Veteran Status
1.18	Serving Veterans and Priority of Service to Veterans
1.19	Incumbent Worker
1.20	One-Stop Affiliated Site Requirements
1.21	Work Experience (WEX), Internships, Registered Apprenticeship and Transitional Jobs
1.22	One-Stop Infrastructure Funding
1.23	Career Pathways
2.1	Eligibility for Youth Services
2.2	Youth Program Design
2.3	Youth Standing Committee
2.4	Youth Expenditure Requirements
2.5	Youth- Support Services, Needs Related Payment, Incentive Payments
2.6	Youth Work Experience (WEX)/ Internships, Pre-Apprenticeship, Registered Apprenticeship (RA) and On the Job Training
3.1	Allowed and Disallowed Costs
3.2	Audit Process
3.3	Carry Forward, Reallocation, Reallotment, Hold Harmless and Period of Availability
3.4	Cash Management
3.5	Contract or Subgrant Closeout
3.6	Cost Limitations
3.7	Cost Allocation

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3.8	Transfer of Funds Between Programs
3.9	Procurement
3.10	Program Income
3.11	Property Management
3.12	Recovery of Tuition and Training Refunds
3.13	Pre-Award Costs
3.15	Recipients and Sub-recipients Compensation, Entertainment and Travel Costs
4.1	Equal Opportunity Provisions of WIOA; Assurances, Equal Opportunity Officer, Notice, Outreach, Monitoring, Data, Compliance, Corrective Actions and Sanctions for Non-Compliance
4.2	Program provisions as they relate to Nondiscrimination and Affirmative Outreach
4.3	Discrimination Grievance/Complaint Procedures
4.4	Non-Criminal Grievance/Complaint and Appeals Process
4.5	Sexual Harassment Grievance/Complaint Procedures
4.6	Conflict of Interest/Nepotism
4.7	Incident Reporting Requirements
5.1	Debarred and Suspended Contractors
5.2	Forms for Reporting Fraud and Abuse
5.3	Restrictions on Lobbying
5.4	Record Retention
5.5	Reporting Requirements
5.6	Sanctions and Resolution Process
5.7	Oversight, Monitoring, Technical Assistance, Data Element Validation (DEV) and Wagner-Peyser Self Appraisal System (SAS)
5.8	Governor's Reserve Funding Set Aside for Special Projects
5.9	State Compliance Policy Creation and Update Procedure
5.10	WIOA Title I Paperless/Electronic Participant Records Policy